

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 56219 – 56222,
	:	56224 – 56227, 56331, 56332, 56345,
	:	56346, 56348, 56353 and 53654
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 12, 2017, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated October 12, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
17<sup>th</sup> day of October, 2017

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000123153197 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 32405



MORGAN STANLEY SENIOR FUNDING, INC.  
ATTN: MANAGING LAW CLERK  
C/O RICHARDS KIBBE & ORBE LLP  
200 LIBERTY STREET  
NEW YORK, NY 10281-1003

MORGAN STANLEY SENIOR FUNDING, INC.  
TRANSFEROR: HSBC BANK PLC  
ATTN: JOHN RAGUSA  
1585 BROADWAY - 2ND FLOOR  
NEW YORK, NY 10036

Please note that your claim # 45092-02 in the above referenced case and in the amount of  
\$19,148,163.84 allowed at \$15,000,000.00 has been transferred (unless previously expunged by court order)

TRC MASTER FUND LLC  
TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC.  
ATTN: TERREL ROSS  
100 MERRICK ROAD, SUITE 308E  
ROCKVILLE CENTRE, NY 11570

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 56332 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/12/2017

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 12, 2017.

## **EXHIBIT B**





**SERVICE LIST**  
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[illegible]

[illegible]



Pg 9 of 16

[illegible]

Claim Name	Address Information
ROYAL BANK OF SCOTLAND PLC, THE	COUNSEL, 600 WASHINGTON BOULEVARD, STAMFORD, CT 06901
ROYAL BANK OF SCOTLAND PLC, THE	IRENA M. GOLDSTEIN & JEFFREY CHUBAK, PROSKAUER ROSE LLP, ELEVEN TIMES SQUARE, NEW YORK, NY 10036
ROYAL BANK OF SCOTLAND PLC, THE	C/O RBS SECURITIES INC., ATTN: PIA FRIIS, MANAGING DIRECTOR AND SENIOR COUNSEL, 600 WASHINGTON BOULEVARD, STAMFORD, CT 06901
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ROYAL BANK OF SCOTLAND PLC, THE	TRANSFEROR: EFG BANK AG, ATTN: JOHN KATSIKOUMBAS, 135 BISHOPSGATE, LONDON EC2M 3UR UNITED KINGDOM
ROYAL BANK OF SCOTLAND PLC, THE	IRENA M. GOLDSTEIN & JEFFREY CHUBAK, PROSKAUER ROSE LLP, ELEVEN TIMES SQUARE, NEW YORK, NY 10036
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ROYAL BANK OF SCOTLAND PLC, THE	TRANSFEROR: GLOBAL VIDA-COMPANHIA DE SEGUROS DE VIDA S.A., ATTN: JOHN KATSIKOUMBAS, 135 BISHOPSGATE, LONDON EC2M 3UR UNITED KINGDOM
ROYAL BANK OF SCOTLAND PLC, THE	IRENA M. GOLDSTEIN & JEFFREY CHUBAK, PROSKAUER ROSE LLP, ELEVEN TIMES SQUARE, NEW YORK, NY 10036
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022

Claim Name	Address Information
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: BANK OF AMERICA, N.A., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O THE BANK OF NEW YORK TRUST COMPANY, N.A. - HOUSTON, TX, ATTN: MARY PENA, GLOBAL CORPORATE TRUST, 601 TRAVIS STREET, 17TH FLOOR, HOUSTON, TX 77002-8039
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: COMPLIANCE DEPARTMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: COMPLIANCE DEPT., 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: COMPLIANCE DEPT., 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 2 LP	TRANSFEROR: BARCLAYS BANK PLC, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 2 LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 2 LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 2 LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, LP, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 2 LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 3 LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 3 LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, 410 PARK AVENUE - 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 3 LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, 410 PARK AVENUE - 11TH FLOOR, NEW YORK, NY 10022
SOLUS OPPORTUNITIES FUND 3 LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022

Claim Name	Address Information
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: COMPLIANCE DEPT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: BANK OF AMERICA, N.A., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: BANK OF AMERICA, N.A., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: BANK OF AMERICA, N.A., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O BANK OF NEW YORK MELLON TRUST COMPANY, NA, THE, ATTN: MARY PENA/ACT#:711769, 601 TRAVIS STREET, 17TH FLOOR, HOUSTON, TX 77002
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG,LONDON BRANCH, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: COMPLIANCE DEPT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC, C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP, ATTN: SOLUS COMPLIANCE OFFICER, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
TRC MASTER FUND LLC	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., ATTN: TERREL ROSS, 100 MERRICK ROAD, SUITE 308E, ROCKVILLE CENTRE, NY 11570
TRC MASTER FUND LLC	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., ATTN: TERREL ROSS, 100 MERRICK ROAD, SUITE 308E, ROCKVILLE CENTRE, NY 11570

<b>Total Creditor Count 297</b>
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